# Retrieval Technologies for the Infinite Index

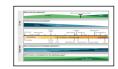
Martin Potthast University of Kassel, hessian.Al, and ScaDS.Al

March 5th, 2025 • BTW 2025

#### Web Search









#### Language Models







#### Retrieval-Augmented Generation





#### The Infinite Index















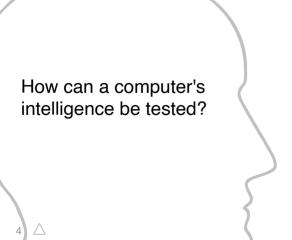
#### Information Retrieval in a Nutshell

□ A vague request.

Expression of a complex information need: a question

Billions of documents.

Text, images, audio files, videos, ...





#### Information Retrieval in a Nutshell

□ A vague request.

Expression of a complex information need: a question, or just a few keywords.

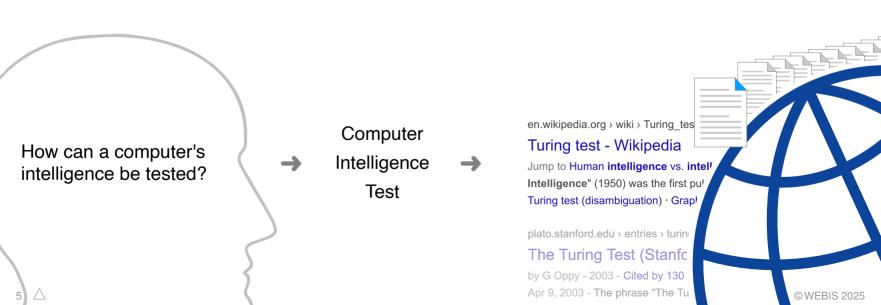
Billions of documents.

Text, images, audio files, videos, ...

High class imbalance.

Only a tiny fraction of all documents are relevant to the request.

→ Retrieve relevant documents in milliseconds.



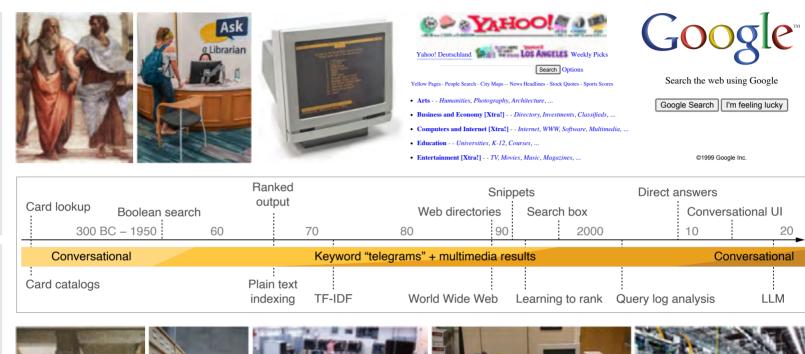


<sup>•</sup> M. Potthast, M. Hagen, B. Stein (2020). The dilemma of the direct answer.





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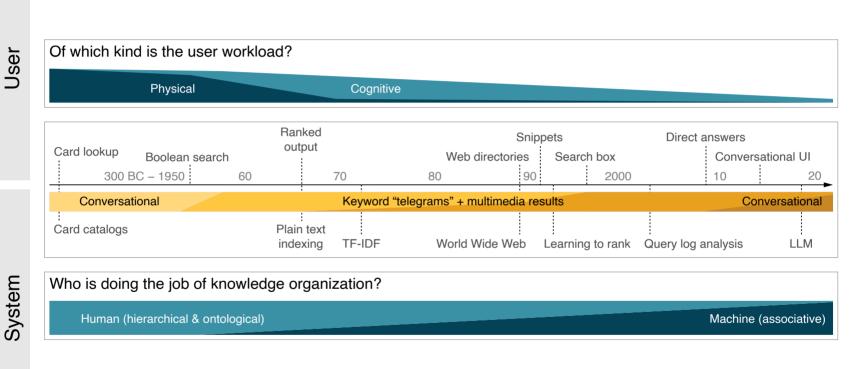






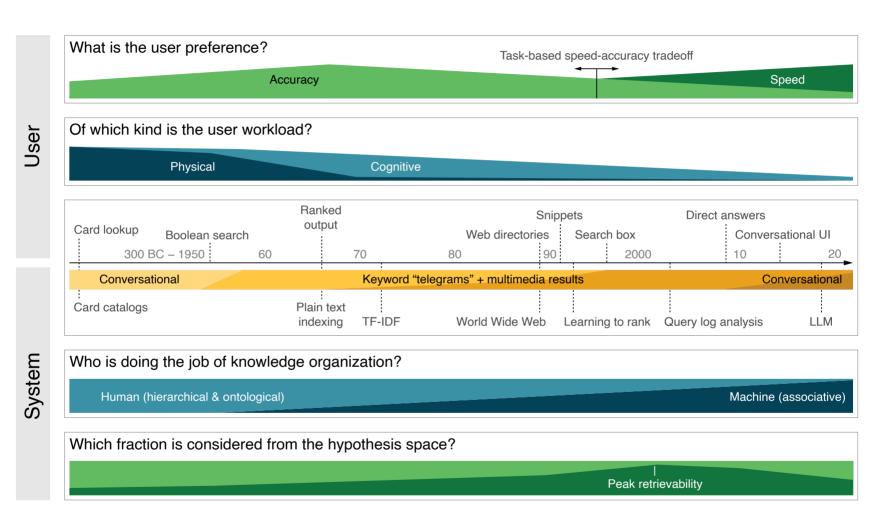


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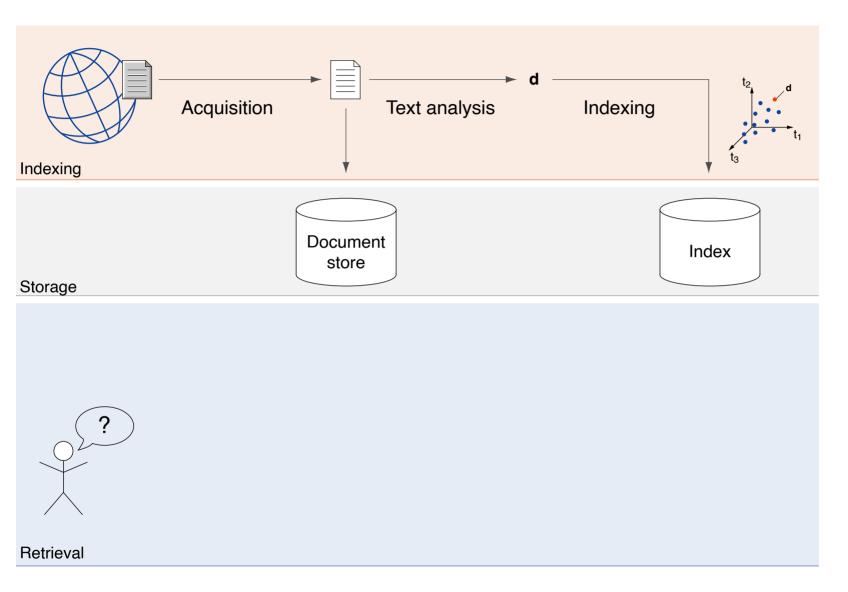


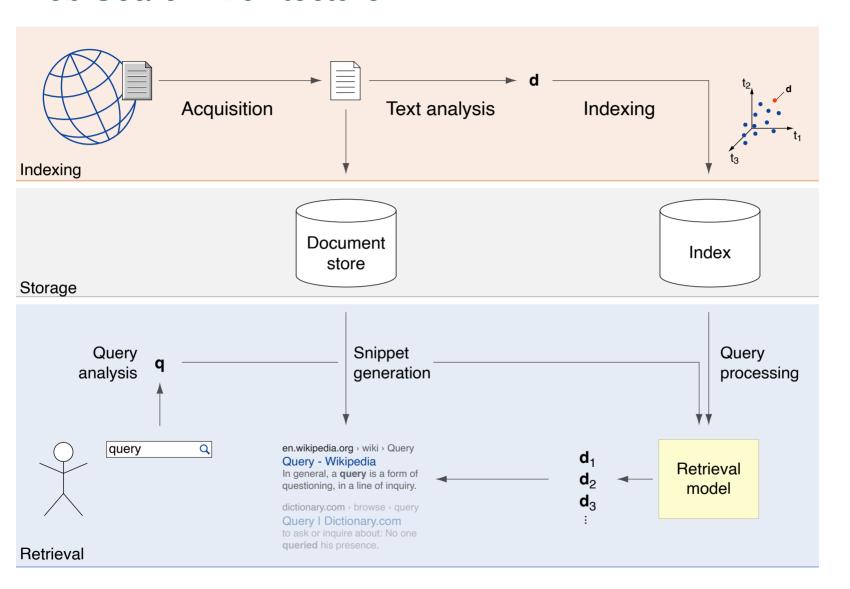
Indexing

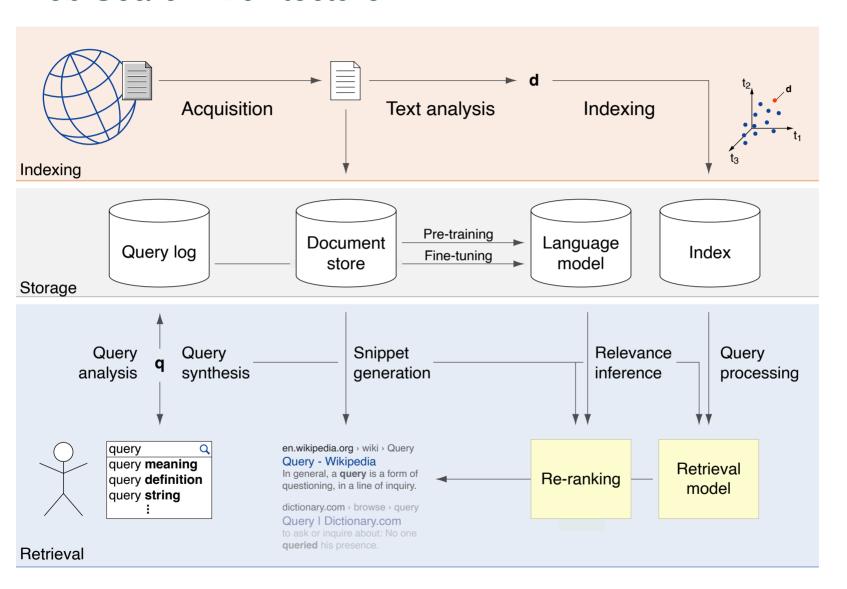
Storage

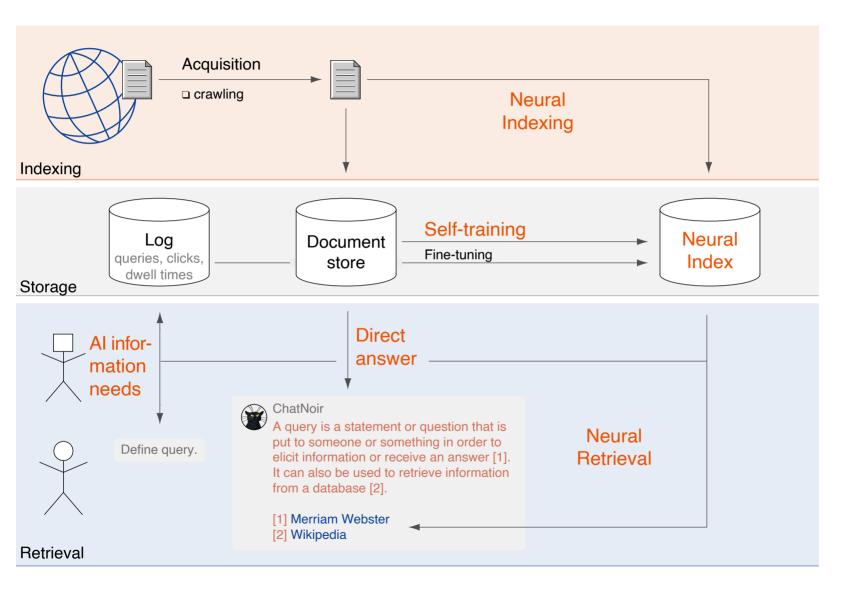


Retrieval

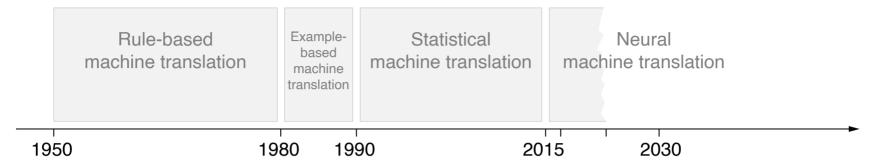


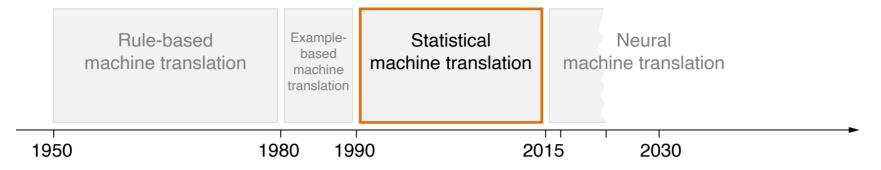






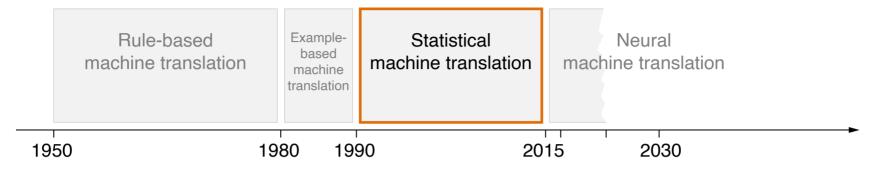






A statistical language model is a probability distribution over all possible texts.

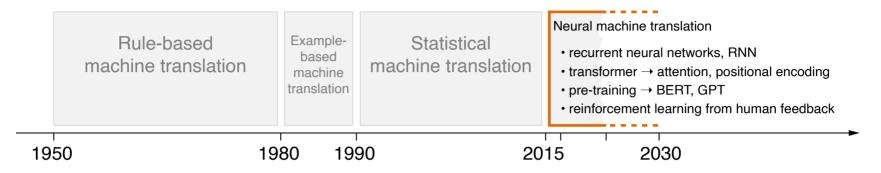
18 🛆



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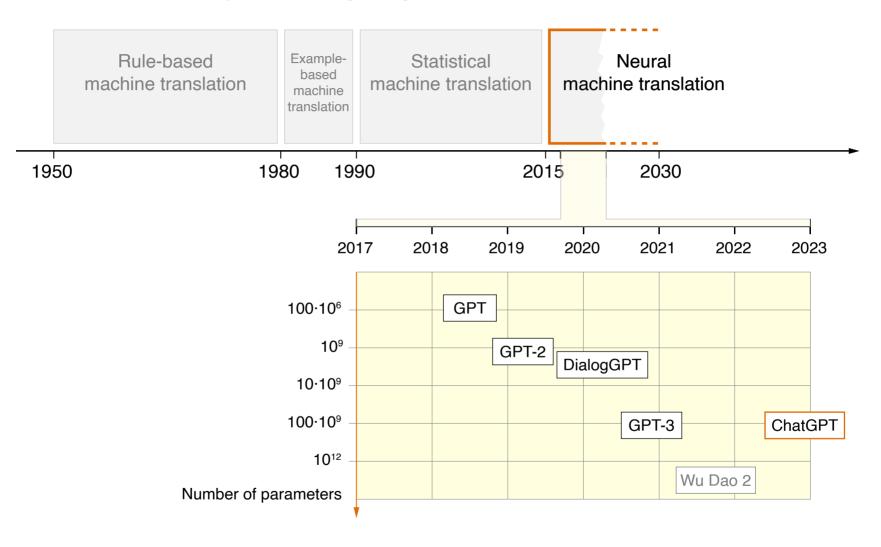
#### Illustration:

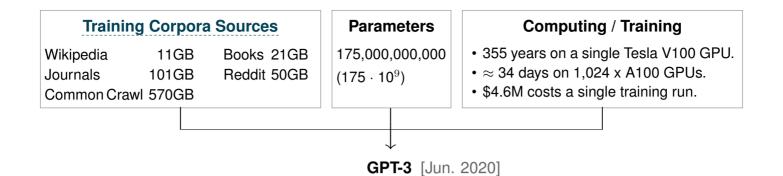
- (1) i love my ?  $\mathbb{N}$   $\mathbb{N}$
- (2) see ... works. N

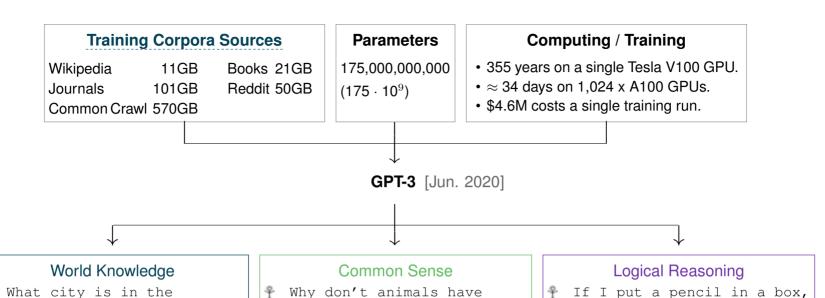


A neural language model approximates a statistical language model.

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Animals don't have three

legs because they would

three legs?

fall over.

northwest corner of Ohio?

Toledo is in the north-

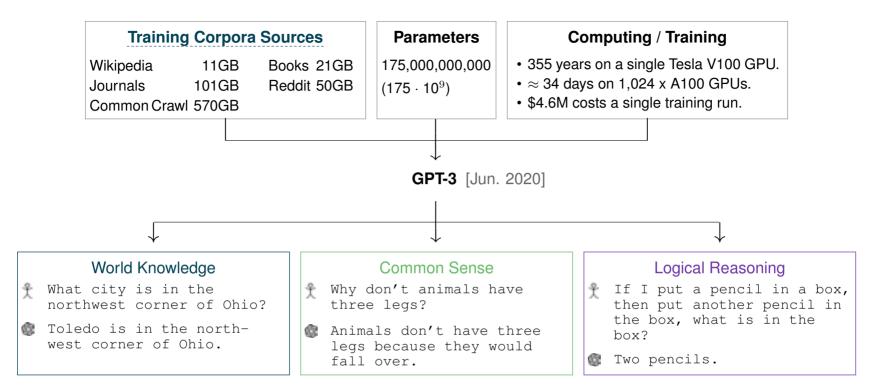
west corner of Ohio.

then put another pencil in

the box, what is in the

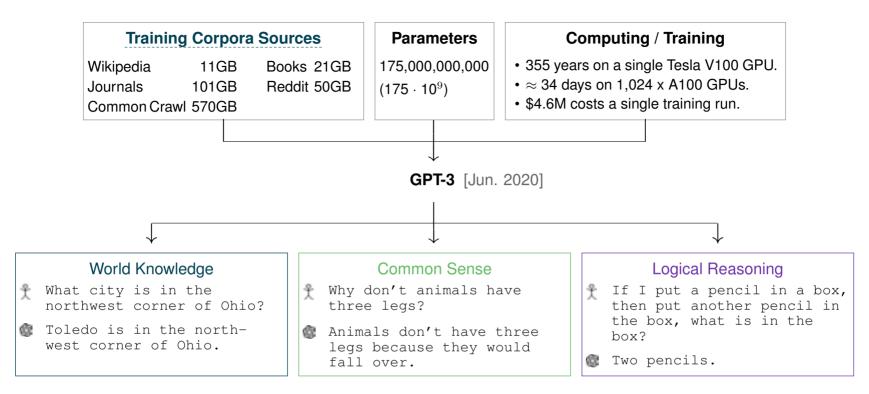
box?

Two pencils.



- + Learn to follow instructions and to comply with answer policies.
  - (1) Fine-tuning of GPT-3 to follow instructions: 13,000 popular prompts with hand-written answers.
  - (2) Training of a reward model: 33,000 prompts with 4-9 answers, ranked from best to worse.
  - (3) Training of the fine-tuned GPT-3 model from Step (1) to follow the reward policy.

GPT-3.5 (InstructGPT) [Jan. 2022]

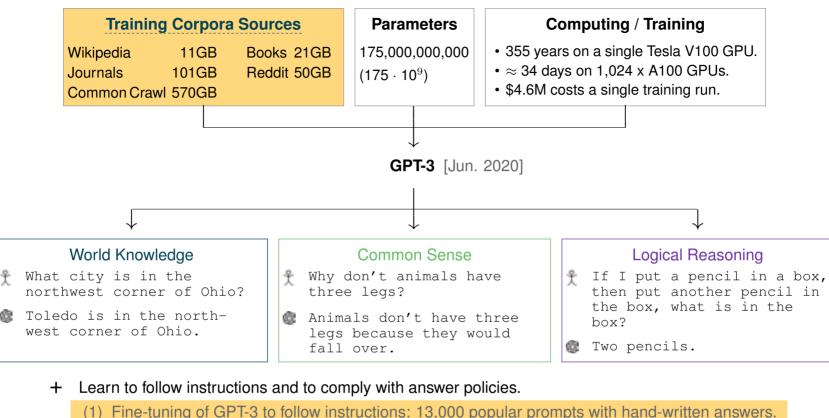


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GPT-3.5 (InstructGPT) [Jan. 2022]

+ Fine-tuning of GPT-3.5 to comply with even stricter guardrails.

ChatGPT [Nov. 2022]



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Fine-tuning of GPT-3.5 to comply with even stricter guardrails. ChatGPT [Nov. 2022]

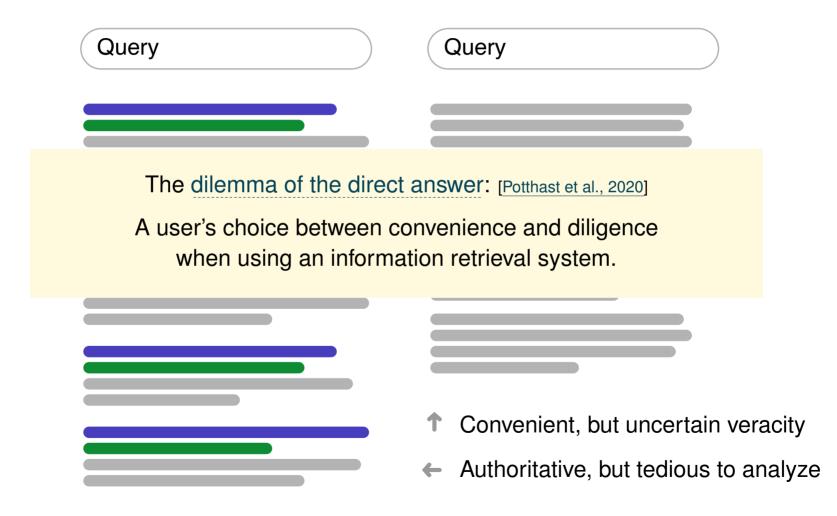
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How to satisfy an information need:



How to satisfy an information need:



How to satisfy an information need:



30 Z

How to satisfy an information need:



Prompt-level RAG combines existing systems:

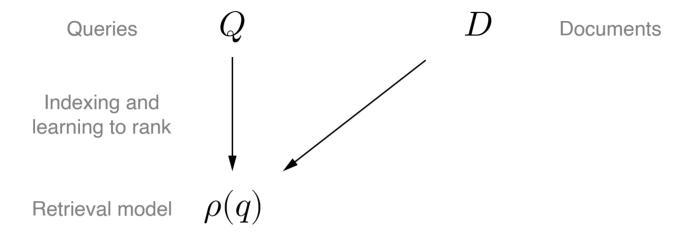
Queries

Q

D

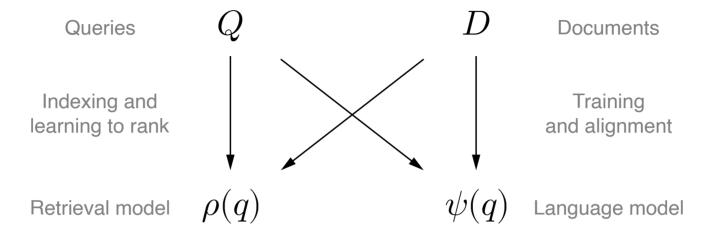
Documents

Prompt-level RAG combines existing systems:



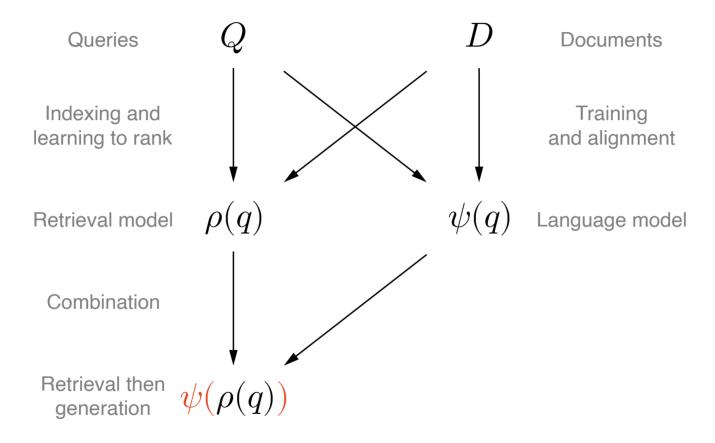
33 4

Prompt-level RAG combines existing systems:



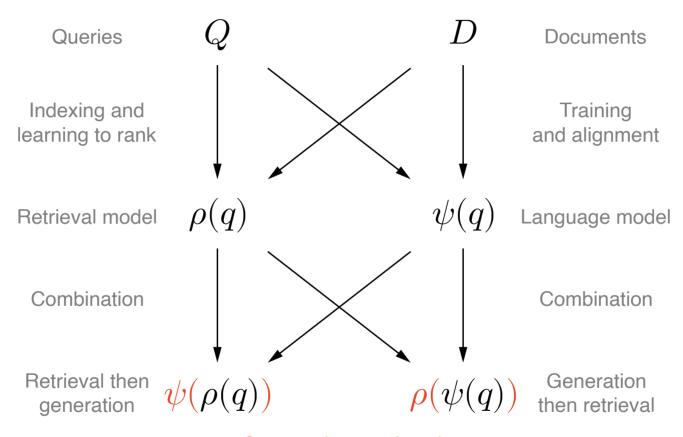
34 🛆

Prompt-level RAG combines existing systems:



35 🛆

#### Prompt-level RAG combines existing systems:

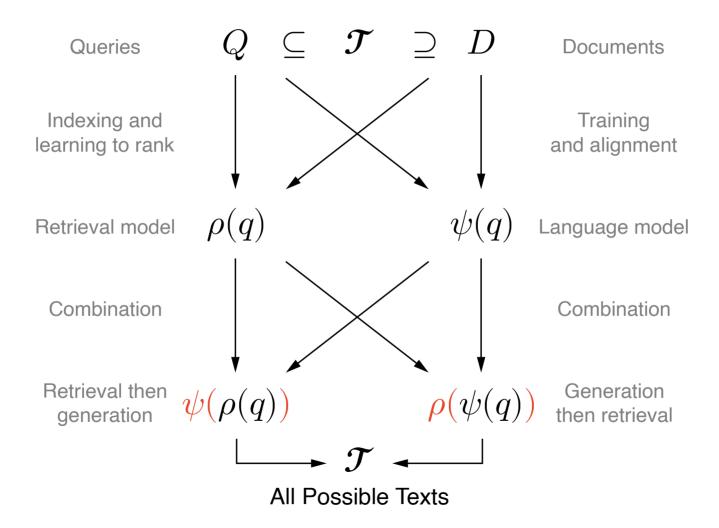


Generative retrieval

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# Retrieval-Augmented Generation

Prompt-level RAG combines existing systems:



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Prompt-level RAG combines existing systems:

Queries

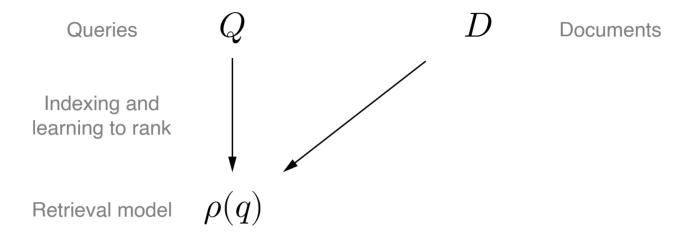
Q

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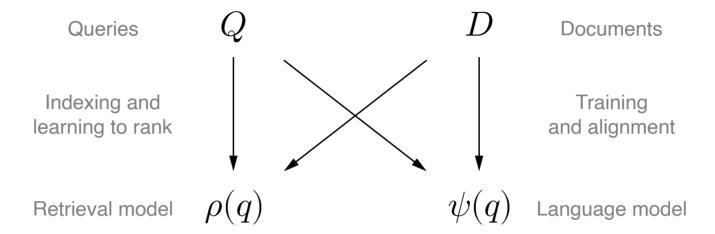
**Documents** 

39

Prompt-level RAG combines existing systems:

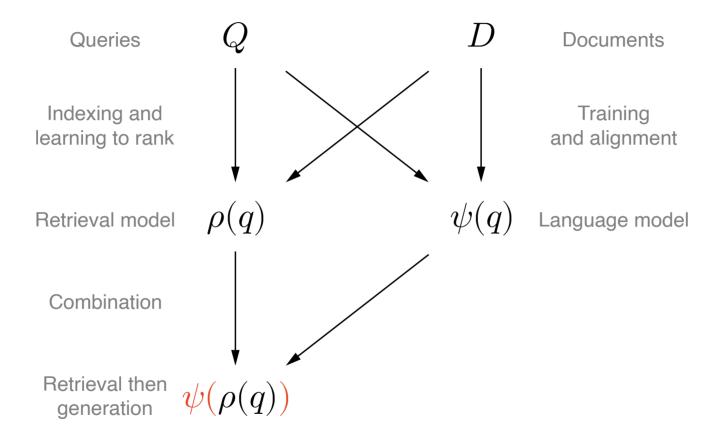


#### Prompt-level RAG combines existing systems:



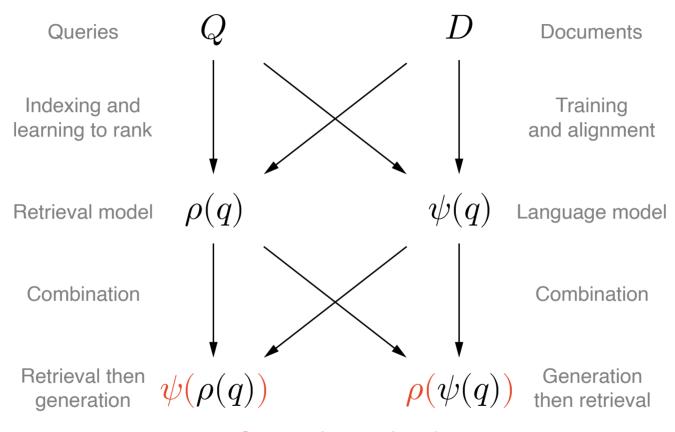
riangle © WEBIS 2025

#### Prompt-level RAG combines existing systems:



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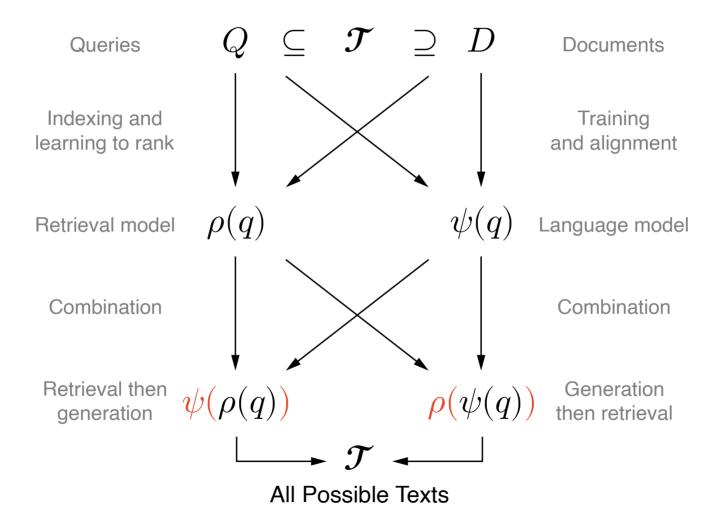
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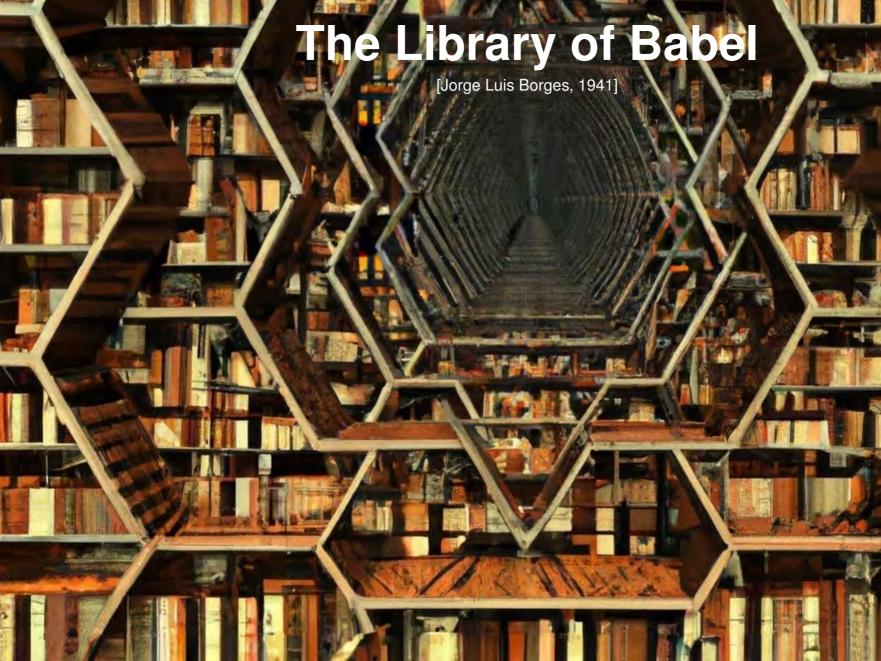
Generative retrieval

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#### Prompt-level RAG combines existing systems:



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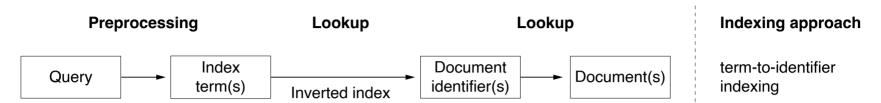


- Infinite library with all possible texts from all letter combinations
- The people in it spend their lives searching for meaningful text fragments



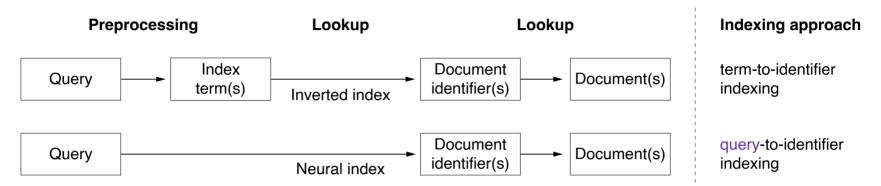
- Infinite library with all possible texts from all letter combinations
- The people in it spend their lives searching for meaningful text fragments
- When prompted, a language model "retrieves" a relevant text [CHIIR 2023]:

A language model is an infinite index



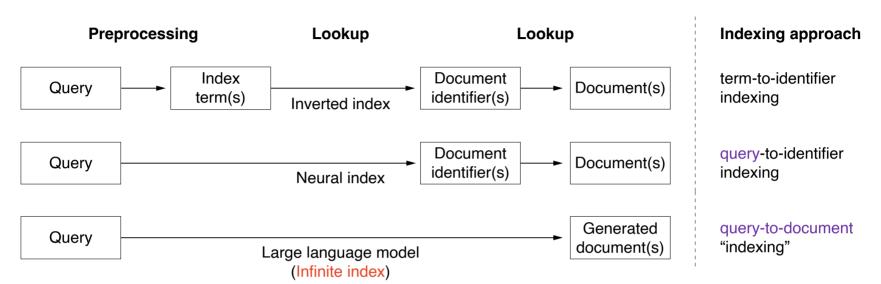
[Search Engine Architecture]

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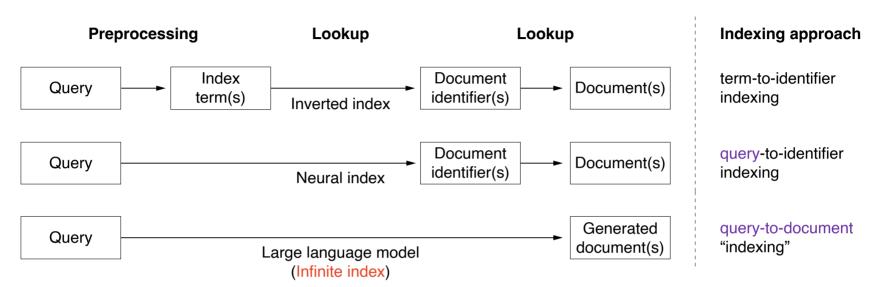


[Search Engine Architecture]

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[Search Engine Architecture]



[Search Engine Architecture]

What retrieval model works on an infinite index?

#### The Infinite Index [IJCAI 2024]

- Text-to-image models require users to declare their desired output
- □ For a given seed, a prompt designates precisely one image
- The first image generated is usually not satisfactory
- Prompt engineering (trial and error) is required to reach the desired result

## The Infinite Index [IJCAI 2024]

- Text-to-image models require users to declare their desired output
- For a given seed, a prompt designates precisely one image
- The first image generated is usually not satisfactory
- Prompt engineering (trial and error) is required to reach the desired result
- We develop a retrieval model that allows users to "surf" embedding space
- Prompt embedding manipulation allows targeted retrieval from image space

53

#### Query 1:

Golden treehouse in lush forest with big glass window and intricate woodwork.



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Golden treehouse in lush forest with big glass window and intricate woodwork.



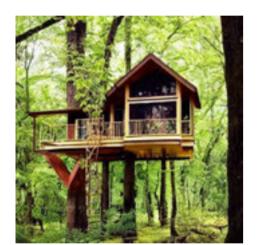
#### Query 2:

Big treehouse in rain forest with two floors, green roof, and spiral staircase.



#### Query 1:

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# Query 2:

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56 /\

Query 1:

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#### Query 1:

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59  $\triangle$  ©WEBIS 2025

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63  $\triangle$  ©WEBIS 2025

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68  $\triangle$  ©WEBIS 2025

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Query 1:

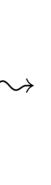
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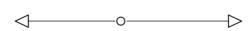
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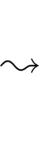
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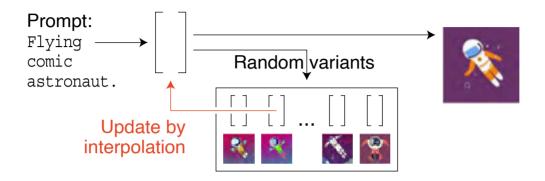




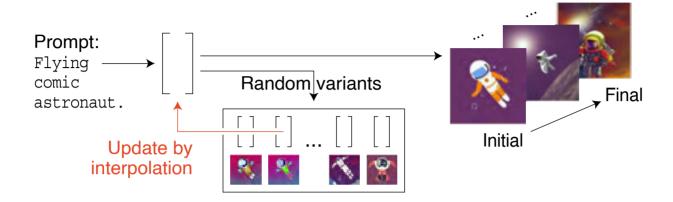




□ A user enters a vague prompt / query and is dissatisfied with the first result.



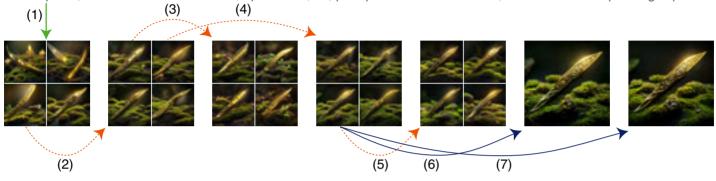
- □ A user enters a vague prompt / query and is dissatisfied with the first result.
- Our approach offers a selection of alternatives
- Alternatives are sampled in the "vicinity" of the prompt embedding
- □ A user selects "interesting" alernative(s)
- □ The system generates new results by interpolation



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- Alternatives are sampled in the "vicinity" of the prompt embedding
- □ A user selects "interesting" alernative(s)
- □ The system generates new results by interpolation
- In each iteration, the user "surfs" the embedding space
- Selection of liked alternatives gives a sense of direction

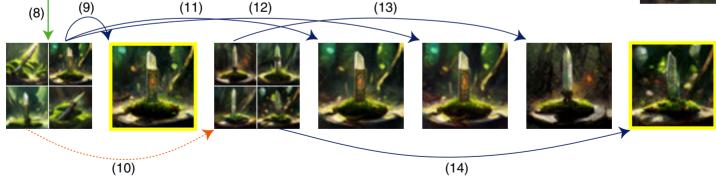
110 🛆

**Initial prompt:** an ancient golden dagger lying on moss, illuminated by godrays, close up, digital painting, matte painting, midjourney, concept art, detailed art, scifiart cinematic painting, magic the Gathering, volumetric light, masterpiece, volumetric realistic render, epic scene, 8k, post-production detailed art, scifiart cinematic painting --q 2

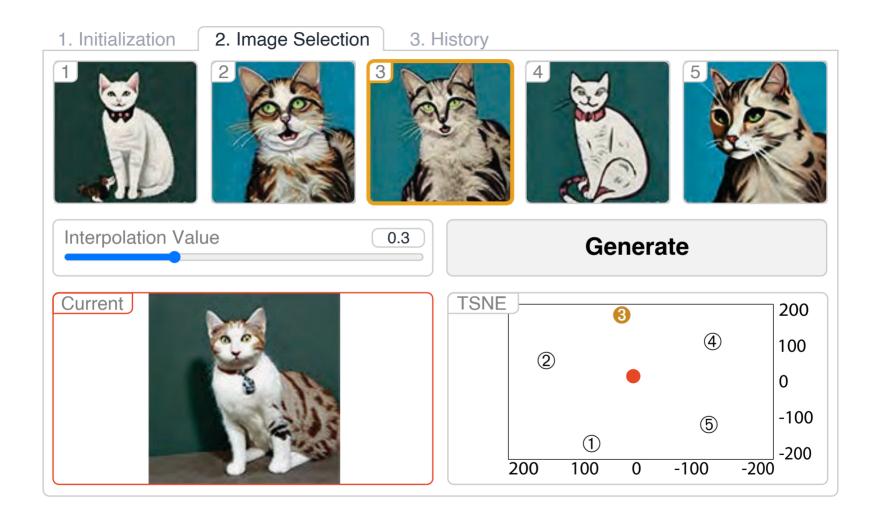


**Reformulated prompt:** a medieval dagger lying on moss, lit by god rays, art by Adrian Smith + Paul bonner, magic gathering style, warcraft, blizzard style, hearthstone, fantasy concept art, medieval, masterpiece, mystical, witchcraft





# The Infinite Index [IJCAI 2024] [demo]

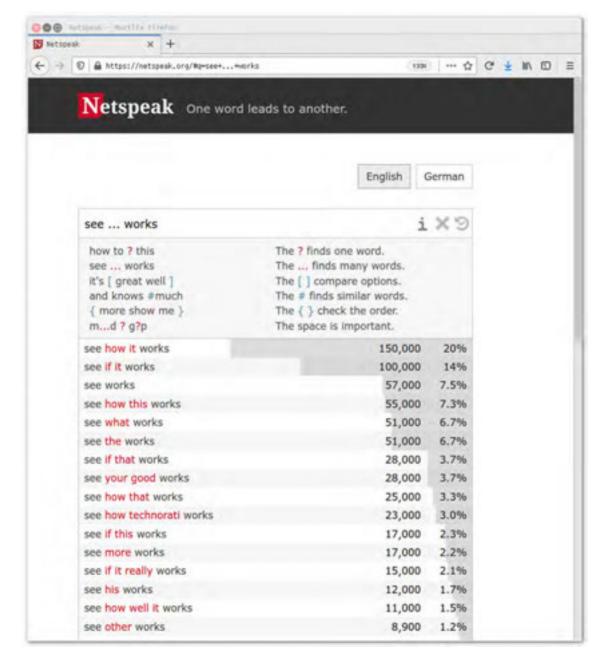


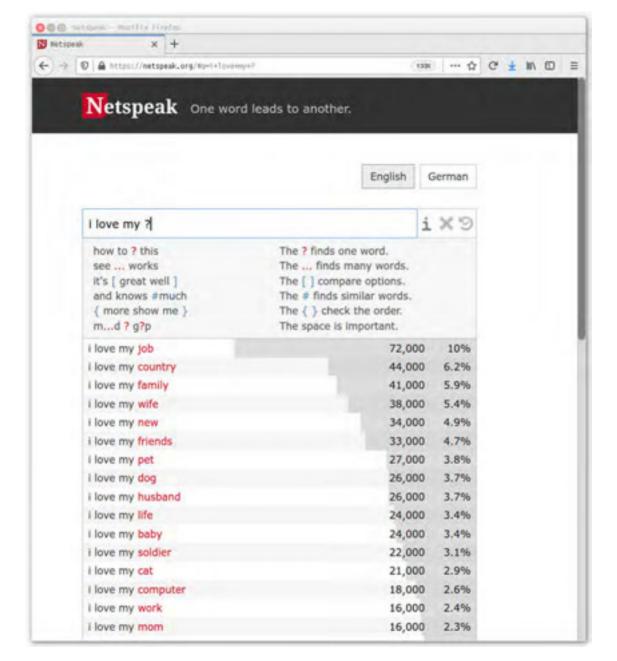
112 🛆

## Take-away messages

- Retrieval models are made useful based on human feedback
- Language models are made useful based on human feedback, too
- Users wish to make vague requests
- Prompt engineering resembles declarative retrieval
- Retrieval-augmented generation addresses hallucination
- New retrieval technologies are required to support image generation
- Prompt embedding manipulation may relief

Evaluation is remains a challenge





### 2022 WHAT'S IN MY AI? - ALT VIEW



Google Patents	0.48%
The New York Times	0.06%
Los Angeles Times	0.06%
The Guardian	0.06%
Public Library of Science	0.06%
Forbes	0.05%
Huffington Post	0.05%
Patents.com	0.05%
Scribd	0.04%
Other	99.09%

#### Common Crawl

48%	Google	3.4%
06%	Archive	1.3%
06%	Biogspot	1.0%
06%	GitHub	0.9%
06%	The New York Times	0.7%
05%	Wordpress	0.7%
05%	Washington Post	0.7%
05%	Wikia	0.7%
04%	8BC	0.7%
09%	Other	89.9%
1		

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Biography	27.8%
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Culture and Arts	. 15.8%
History	9.9%
Biology, Health, Medicine.	7.8%
Sports	6.5%
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Science & Math	3.5%
Education	1.8%

#### English Wikipedia

Romance	26.1%
Fantasy	13.6%
Science Fiction	7.5%
New Adult	6.9%
Young Adult	6.8%
Thriller	5.9%
Mystery	5.6%
Vampires	5.4%
Horror	4.1%
Other	18.0%

#### BookCorpus (GPT-1 only)



LifeArchitect.ai/whats-in-my-ai